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## **CONFIRMATION NO. 9272**

SERIAL NUMB 10/001,237			CLASS 705		GROUP ART UNIT 3628		ATTORNEY DOCKET NO. 00-1153-A				
APPLICANTS											
Neal Brady	, Eva	nston, IL;									
Noah Carey, Evanston, IL; William R. Erwin, Chicago, IL;John Gilmore, Burr Ridge, IL; Michael Quattrocki, Chicago, IL; Frank Stone, Chicago, IL; Mark Thornburgh, West Chicago, IL;											
** CONTINUING DATA **********************************											
** FOREIGN APPLICATIONS ************************************											
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 12/20/2001											
Foreign Priority claimed		yes no		STATE OR	SHI	EETS	ТОТ	AL	INDEPENDENT		
35 USC 119 (a-d) cond met Verified and Acknowledged		yes no Met afte		COUNTRY IL	DRA	WING	CLAII 8		CLAIMS 4		
ADDRESS James L Katz Brinks Hofer Gilso P O Box 10395 Chicago,IL 60610	on & L	ione									
TITLE Network and meth	hod fo	r trading derivatives					·				
☐ All Fees											
	1.16 Fees (Filing)								)		
FILING FEE FEES: Authority has been given in Paper  No to charge/credit DEPOSIT ACCOUNT  No for following:											
RECEIVED 561	NO	for following:					1.18 Fees (Issue)				
		Other									
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**CONFIRMATION NO. 9272** 

SERIAL NUME 10/001,237		FILING DATE 10/30/2001 RULE		<b>CLASS</b> 705		GROUP ART UNIT 2167			ATTORNEY DOCKET NO. 00-1153-A	
William R. John Gilm Michael Q Frank Stor Mark Thor	ey, Eva . Erwin, nore, Bu luattroc ne, Chie rnburgh	anston, IL; , Chicago, IL; urr Ridge, IL; cki, Chicago, IL; icago, IL; n, West Chicago, IL;								
THIS APP	PLN CLA	\	0/244,31	1 10/30/2000			·			
IF REQUIRED, F ** 12/20/2001	OREIG	GN FILING LICENSE (		ED** SMALL E	ENTITY	/ **				
Foreign Priority claime 35 USC 119 (a-d) cond met Verified and Acknowledged	ditions	yes no yes no Met afte Allowance  Allowance  Initi	er tials	STATE OR COUNTRY IL	DRA	EETS WING 10	TOT/ CLAII 8		INDEPENDENT CLAIMS 4	
DDRESS Deptert J. Irvine Donnell Boehr Send Floor 300 S. Wacker D Chicago ,IL 6060	nen Hul Irive	ulbert & Berghoff			·					
TITLE Network and met	:hod for	r trading derivatives								
RECEIVED	No	Authority has been giv to charge/cre for following:	credit DEPOSIT ACCOUNT			All Fees  1.16 Fees (Filing)  1.17 Fees (Processing Ext. of time)  1.18 Fees (Issue)  Other  Credit				